

Atty Ref/Docket No.: H16-26292 (256.006US1)

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Anoop Kumar Mathur, Vipin Gopal, Jan Jelinek and  
Tariq Samad

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: December 22, 27, and 29, 2000; January 4, 2001

2. Name and address of receiving party(ies):

Name: Honeywell International Inc.

Street Address: 101 Columbia Road  
AB2 Building

City: Morristown State: NJ ZIP: 07962

Additional name(s) & address(es) attached? ☐ Yes ☒ No

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4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 09/549,620, filed April 14, 2000

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence  
concerning document should be mailed:

Name: John G. Shudy, Jr.

Address: Honeywell International Inc.  
Law Dept. AB2  
P.O. Box 2245  
Morristown, NJ 07962-9806

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our  
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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ann M. McCrackin/Reg. No. 42,858  
Name of Person Signing

Signature

Jan. 11, 2001  
Date

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Attorney Docket No. H16-26292 (256.066US1)

SCHWEGMAN ■ LUNDBERG ■ WOESSNER ■ KLUTII

## United States Patent Application

### COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **ADVANCED RECIPE - A KNOWLEDGE BASED INFORMATION SYSTEM FOR PRODUCTION PROCESSES.**

The specification of which was filed on April 14, 2000 as application serial no. 09/549,620.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 C.F.R. § 1.56 (attached hereto). I also acknowledge my duty to disclose all information known to be material to patentability which became available between a filing date of a prior application and the national or PCT international filing date in the event this is a Continuation-In-Part application in accordance with 37 C.F.R. § 1.63(e).

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

**N** such claim for priority is being made at this time.

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

**No** such claim for priority is being made at this time.

I hereby claim the benefit under 35 U.S.C. § 120 or 365(c) of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. § 1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

**N** such claim for priority is being made at this time.

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Attorney Docket No.: H16-26292 (256.066US1)  
Serial No. 09/549,670  
Filing Date: April 14, 2000

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

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Reg. No. 32,836  
Reg. No. 42,331  
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Reg. No. 41,136  
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Reg. No. 44,896  
Reg. No. 35,271  
Reg. No. 42,358  
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Reg. No. 44,255  
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Padys, Danny J.  
Parker, J. Kevin  
Perdok, Monique M.  
Peterson, David C.  
Prout, William F.  
Schumdt, Sherry W.  
Schwegman, Michael L.  
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Smith, Michael G.  
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Steffey, Charles E.  
Terry, Kathleen R.  
Tong, Viel V.  
Viksmis, Ann S.  
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Woessner, Warren D.  
Shady, John G. Jr.

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Reg. No. 33,024  
Reg. No. 42,989  
Reg. No. P-47,857  
Reg. No. 33,995  
Reg. No. 39,422  
Reg. No. 25,816  
Reg. No. 38,613  
Reg. No. 45,368  
Reg. No. 45,458  
Reg. No. 25,179  
Reg. No. 31,884  
Reg. No. 45,416  
Reg. No. 37,748  
Reg. No. 41,363  
Reg. No. 30,440  
Reg. No. 31,214

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization/who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Honeywell International Inc. and/or Schwegman, Lundberg, Woessner & Kluth, P.A. Please direct all correspondence in this case to Honeywell International Inc., at the address indicated below:

Law Dept. AB2  
P.O. Box 2245, Morristown, NJ 07962-9806  
Customer Number: 000128

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of joint inventor number 1: Anoop Kumar Mathur  
Citizenship: United States of America  
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Signature:

*Anoop Kumar Mathur*  
Anoop Kumar Mathur

Date:

Dec 22, 2000

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Signature:

*Vipin Gopal*  
Vipin Gopal

Date:

Dec 22, 2000

X Additional inventors are being named on separately numbered sheets, attached hereto.

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Attorney Docket No.: H16-26292 (256.086US1)  
Serial No. 09/549,620  
Filing Date: April 14, 2000

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of joint inventor number 3: Jan Jelinek  
Citizenship: United States of America  
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Residence: Plymouth, MNSignature: 

Jan Jelinek

Date: Jan 4, 2001

Full Name of joint inventor number 4: Tariq Samad  
Citizenship: United States of America  
Post Office Address: 5212 Xerxes Avenue South  
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Residence: Minneapolis, MNSignature: 

Tariq Samad

Date: 27 December, 2000

Full Name of inventor:  
Citizenship:  
Post Office Address:

Residence:

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Full Name of inventor:  
Citizenship:  
Post Office Address:

Residence:

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

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Attorney Docket No.: H16-26291 (256.066US1)  
 Serial No. 09/549,620  
 Filing Date: April 14, 2000.

# § 1.56 Duty to disclose information material to patentability.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability, as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
  - (i) Opposing an argument of unpatentability relied on by the Office, or
  - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) Each inventor named in the application;
- (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.